FLICK & ELLIOTT, P.C.

JOHN M. FLICK OF COUNSEL: MARCIA L. ELLIOTT JILL A. ROMER

303 CENTRAL STREET GARDNER, MA 01440 FAX: (978) 630-3703

WRITER'S E-MAIL:

March 10, 2006

VIA FIRST CLASS MAIL

Martin Castles, Clerk U.S. District Court 595 Main Street, Room 502 Worcester, MA 01608

> Louis P. Alberghini v. Simonds Industries, Inc. Re:

> > Federal District Court, Civil Action No. 04CV11024

Dear Mr. Castles:

Counsel met after yesterday's hearing to discuss the pages missing from electronic filing of Plaintiff's appendices. We determined what happened. The Plaintiff's appendices were mailed to the Court from Attorney Elliott in Florida and not electronically filed. Several of the deposition pages included in the hard copy were printed on both sides. The reverse side of the documents were not scanned into the electronic docket. For that reason, the appendices printed from the electronic docket were incomplete.

I have enclosed copies of the pages missing from the electronic docket. Please verify that Judge Saylor's copy includes these pages in the respective appendices. Copies of these pages were given to Attorney Siegel at the hearing.

Thank you for your attention to these matters. Please call me if you have any questions.

Very truly yours,

John M. Flick

Jonathan Sigel Cc:



ADDENDUM

TO

APPENDIX 9

```
Volume I
 1
 2
                                     Pages 1 - 160
 3
                  COMMONWEALTH OF MASSACHUSETTS
 4
                COMMISSION AGAINST DISCRIMINATION
 5
     LOUIS P. ALBERGHINI,
 6
                       Complainant
 7
          VS.
     SIMONDS INDUSTRIES, INC.,
 8
                       Respondent
 9
10
          DEPOSITION OF LOUIS P. ALBERGHINI, taken at the
11
12
     request of the Respondent, pursuant to the applicable
13
     provisions of the Massachusetts Rules of Civil
     Procedure, before Karen G. Farragher, RPR and Notary
14
     Public in and for the Commonwealth of Massachusetts,
15
     on October 23, 2002, commencing at 10:16 a.m., at the
16
17
     offices of Bowditch & Dewey, 311 Main Street,
18
     Worcester, Massachusetts.
19
20
21
                         FOR THE RECORD
                    COURT REPORTING SERVICES
22
                        11 FISKE STREET
               SHREWSBURY, MASSACHUSETTS
                                            01545
23
                         (508) 845-1096
24
```

```
Was there a reduction in force in May of
 1
          Q.
 2
     2001?
          Α.
                  Yes.
 3
                  Was there a reorganization in May of 2001?
          Q.
 4
                  I don't know.
 5
          Α.
                  You received Lean Manufacturing training;
          Q.
 6
     didn't you?
 7
                  Uh-huh.
 8
          Α.
                  You have to answer verbally.
          Q.
 9
                  Yes.
10
          Α.
          Ο.
                  If you look at the next page, top of the
11
     next page, where it says: Simonds also sent
12
     Mr. Dexter for further training in Lean Manufacturing?
13
                  Yes.
14
          Α.
                  So it's your testimony that you received
15
     Lean Manufacturing training but you didn't receive
16
     further Lean Manufacturing training?
17
                  Correct.
18
          Α.
19
          Q.
                  Do you know if at any time prior to that
     Mr. Dexter had received any Lean Manufacturing
20
21
     training?
22
          Α.
                  He received the same as I did, I believe.
                  At the same time?
23
          Ο.
24
          Α.
                  Uh-huh.
```

ADDENDUM

TO

APPENDIX 15

```
Volume I
 1
                                    Pages: 1-65
 2
                                    Exhibits: None
              COMMONWEALTH OF MASSACHUSETTS
 3
             COMMISSION AGAINST DISCRIMINATION
 4
 5
     LOUIS P. ALBERGHINI,
 6
                Complainant
 7
                               No. 01SEM10657
            vs.
     SIMONDS INDUSTRIES, INC.,
 8
 9
                Respondent
10
11
        DEPOSITION of PETER DUPERRY called as a
12
     witness by the Complainant, pursuant to the
13
     applicable provisions of the Massachusetts Rules
     of Civil Procedure, before Susan E. Lepore,
     Registered Professional Reporter and Notary
14
     Public in and for the Commonwealth of
     Massachusetts, taken at the Elliott Law Office,
15
     307 Central Street, Gardner, Massachusetts, on
     Friday, January 3, 2003, commencing at 2:15 p.m.
16
17
18
                   19
                 FLYNN REPORTING ASSOCIATES
20
                Professional Court Reporters
21
                       One Exchange Place
               Worcester, Massachusetts 01608
22
                (508) 755-1303 * (617) 536-2727
                  TOLL FREE: (888)244-8858
23
                      Fax (508) 752-4611
                   2 4
```

Basic now, in order to create them.

1 1

1 4

- Q. And did you ever use the MS Visual
 Basic so far during your employment at Simonds
 Industries?
- A. Several times. When we moved Line 13 in, three or four of the machines out there are programmed using Microsoft Visual Basic tied into the PLC units, Programmable Logic Circuits, as well as the welders that Steve Niemi built on the carbide line, those are all controlled with Visual Basics.
- Q. Have you used CNC programming during your employment at Simonds Industries?
- A. Very frequently. I use it for both the wire EDM and the Normac, as well as the IBM machine. I'd say 30 percent of my time at Simonds is spent programming CNC.
- Q. Is the CNC programming, is that something that is generic to mechanical engineering, or is it used in other types of engineering as well?
- A. As far as I know, it's only the mechanical engineering.
 - Q. Do you need to be a mechanical

```
engineer in order to do that?
1
                No, you don't.
 2
          Α.
 3
                Have you had the experience of -- or
          Q.
     if you're aware of, or know of, other engineers
     who are also capable of doing CNC programming at
 5
 6
     Simonds Industries, besides yourself?
7
          Α.
                Jeremy Dexter does use it.
 8
          Ο.
                Anybody else?
                I believe Rick Brault knows a little,
 9
          Α.
     to some degree, but he doesn't use it very
10
     often. Usually he calls on Jeremy or I to fill
11
12
     in the gaps, where he can't figure it out.
13
          0.
                You mentioned Steve Niemi. Do you
14
     know him?
15
          Α.
                I've met him a few times, yes.
16
                Okay. Have you met him since you've
          Q.
17
     been employed at Simonds Industries?
18
          Α.
                Yes.
19
                Okay. Was he employed there when you
          Ο.
20
     first became employed?
                No, he wasn't.
21
          Α.
                He wasn't, okay. But you say you met
22
          Ο.
23
     him at Simonds Industries?
2 4
          Α.
                Well, I've -- he works for Tri-cycle
```

2

3

4

5

6

7

8

9

1.0

11

12

13

14

15

16

17

18

19

20

21

22

23

- Well, I have attended some Lean Α. Manufacturing Kaizen events. Ο. Okay. And where was that at? That was inside. Α. Ο. Inside. And when did that occur? That was a few weeks after I was Α. hired. Besides the Lean Manufacturing events Ο. that you mentioned, did you go to any other training courses, in or outside the company, relative to your position? Not that I recall, no. Α. Ο. Have you had any disciplinary action of any type, while you were employed at Simonds? Α. No. I'm going to make some references to Ο. your performance review, and if you could just, first of all, I quess it's not -- it's unsigned, so I just want you to verify that this is the
 - performance review. Some of it is unsigned, so I'd like you to take a look at it and make sure that it is the performance review that you were given. The first page says "Manager Assessment." Could you just look that over and

1.0

1.8

```
A. I recall doing one the first week I was there. I designed a -- an arm to put on the Si-Namic machine. They were having problems while running the Si-Namic machine, with the blade falling off during operation. It was causing, I believe, like a 50-percent scrap rate.
```

I worked with the operator to determine why the band was falling off, and figured out that if I mounted an arm with adjustable height and depth, that I could hold the band in place better. So I designed that and had it built and mounted.

- Q. Okay. And other than that project, did you work on any other projects not listed?
- A. Yeah, but I don't remember them off the top of my head. There were several things that I did at the same time.
- Q. And this Si-Namic machine that you mentioned, did that have anything to do with the carbide line, or was that something else?
- A. That was, I believe, the carbide as well as weld edge.
 - Q. Okay. To your understanding, were

```
you assigned to any one specific product line during your employment?
```

- A. I was assigned specifically to carbide when I began, and then I also took on Steel Rule, when that was moved to Fitchburg. But our duties often overlap. I help Jeremy when he needs it and Rick when he needs it. Those lines are kind of blurred, frequently.
- Q. Okay. Is the "Created work instructions for surface finish gauging in the carbide area," is that anything different from what you'd said in your own accomplishments? Your supervisor mentions that, on the first page.
- A. That's the Profilometer I had spoken of.
- Q. Okay. So it's the same thing we talked about?
 - A. Yes.

1.0

Q. Okay. And the prototype for triple-chip products that your supervisor mentions on the first page, is that the same thing that you described in your accomplishments, in your own Self-assessment?

```
A. No.
```

3

4

5

6

7

8

9

10

11

12

13

14

15

16

- Q. What percentage, or part of the machines, have electrical components to them?
 - A. 100 percent.
- Q. Okay. If something goes wrong with the electrical parts who is responsible, during your employment, that you know of, for handling the problems with the electrical components of these machines?
- A. Either our electrician, or an outside vendor.
- Q. And on how many occasions have you had an outside vendor in for that? If you know.
 - A. Very few. Probably two to three.
 - Q. Are you familiar with ISO 9,000?
- A. Yes.
- 17 Q. Okay. And what do you know about it?
- 18 A. I've been trained as an internal auditor.
 - Q. And who trained you to do that?
- A. I went -- actually, I forgot about
 that training, when you asked me before. I
 attended courses at -- or, a course a few months
 ago, I don't even remember when it was, in order

```
Α.
                 No, I did not.
 1
 2
                 Okay. Did you have discussions with
           0.
 3
      anybody else, relative to your testimony today?
 4
           Α.
                 N \circ .
 5
           Ο.
                Have you discussed with anyone Mr.
 6
     Alberghini, anyone at Simonds Industries?
 7
                I've heard his name a few times, but
 8
     I never really -- if memory serves me correct,
 9
     Ernie said that he used to walk with them at
1.0
     lunchtime. That's as much as I ever heard about
11
     him.
12
           Q. Okay. I'm going to show you what,
13
     apparently, is a job description for a products
14
     engineer at Simonds Industries. Could you just
15
     take a minute and look at that?
16
                 (Witness perusing document.)
17
           Q.
                 Have you seen that job description
     before?
18
19
           Α.
                 Yes, I saw this yesterday.
2.0
                 Okay. Is yesterday the first time
           Q.
2 1
     you saw this job description?
22
          Α.
                 Yes.
23
                 Did your supervisor, Mr. Brault, ever
24
     give you a copy of this job description?
```

```
No, he did not.
1
          Α.
                 Okay. How did you get your
 2
     instructions about what your job duties and
 3
     responsibilities were?
 4
 5
           Α.
                Rick gave me a job to do, and I did
     it.
 6
7
                Okay. So did he relate that to you
           Ο.
 8
     verbally or --
9
           Α.
                Yes.
10
                 Well, perhaps he gave you an
           Ο.
11
     assignment in writing or something?
                Usually verbally.
12
           Α.
13
                 Usually verbally, okay. So you
           Ο.
14
     haven't used this particularly, in knowing what
     your job duties are?
15
16
                No, I have not.
           Α.
                 I'd like to take a minute and go over
17
           Ο.
     these responsibilities, so if you want to take a
18
19
     minute and look at the document, go ahead.
20
                 (Witness perusing document.)
                 This is pretty outdated. A lot of
21
           Α.
22
     those responsibilities follow under the quality
23
     manager now.
```

Okay. So let's go through the

24

Ο.

2

3

4

5

6

7

8

9

10

1 1

12

1.3

1 4

15

16

17

18

19

20

21

22

23

24

Α.

```
we just went over, and you can look at them if
you like, which would be the working closely,
the advising, the working closely and the
documenting, those duties and responsibilities,
do they require mechanical engineering skills?
           (Witness perusing document.)
     Α.
           I would say no.
           Okay. The next --
     Ο.
           Except for --
     Α.
           I'm sorry?
     Q.
           With the exception of the
     Α.
troubleshooting, on the one that regards
troubleshooting, because I have designed new
machinery and modified the existing machinery in
order to prevent further scrap.
           And designing things is a mechanical
     Ο.
engineer function; correct?
     Α.
           Yes.
           Okay. "Approve cost reduction
suggestions for assigned product line." Have
you done that?
```

I don't know exactly what they mean by cost

reductions. We've -- except for, you know,

"Cost reduction?" Well, yes and no.

```
Α.
                Yes.
 1
                 You didn't have that when you were
 2
           Ο.
 3
     hired, right?
                 No, I did not.
 4
          Α.
                 All right. It notes, on page two of
 5
           Q.
     this, that the products engineer position does
 6
 7
     not require one to have a four-year degree; is
     that right?
 8
 9
                 (Witness perusing document.)
                 MR. SIGEL: Are you asking him what
10
     this document says, or whether he knows
11
12
     independently?
13
                 MS. ELLIOTT: What the document says,
14
     for right now.
15
                 That's what the document says, yes.
                And has anyone told you anything
16
17
     different, relative to what the qualifications
     are for the product engineer position?
18
19
           Α.
                 I've never really discussed what the
20
     qualifications are with anyone.
21
           Q.
                 Is upgrading blueprints for the
22
     carbide line the same project you referred to as
23
     the one that you knew that you had obtained
24
     from -- that was previously done by Mr.
```

```
1
      Alberghini? Is that the same thing?
 2
              Yeah. We've changed the format
 3
      drastically, but it's the same project, yes.
 4
                 How did you find out that there was a
           Q.
 5
      job available at Simonds Industries?
 6
                 Jeremy called me while I was working
 7
      for my father.
 8
                 And what did Jeremy tell you?
           Ο.
 9
                He told me that Steve Niemi had quit,
10
     and that there was now a position opened that
11
     they were looking to fill in the next few
12
     months.
13
           Q. Is it your understanding that you
14
     replaced Steve Niemi?
15
                 Well, they didn't -- I was never told
     that I replaced anyone specifically, but he told
16
17
     me that the gap left by Steve was -- needed to
18
     be replaced.
19
                 Did Jeremy tell you anything else?
           Q.
20
          Α.
                 Νo.
21
          Q.
                And what did you do from there, after
22
     you learned that the position was open?
23
                 I e-mailed Jeremy a -- actually, I
24
     e-mailed Ilda Thibodeau a resume, and that was
```

it. 1 2 Who interviewed you for the position? Ο. I interviewed with Rick Brault, Chip 3 Α. Holm and David Bourgeois, Jr. 4 And what position did they tell you 5 Ο. you were interviewing for? 6 7 Α. Product engineer. 8 Q. And were all four of those people in agreement that it was a products engineer 9 10 position that you were applying for, or did 11 anyone deviate from that? 12 Α. Well, actually, I was never -- come 13 to recall, they never actually said "product 14 engineer" during the interviews. That's what 15 Ilda Thibodeau told me the day that I was hired.

MS. ELLIOTT: Okay. I don't have any further questions.

MR. SIGEL: I just have a couple.

EXAMINATION

BY MR. SIGEL:

16

17

18

19

2.0

2.1

22

2.3

24

Q. Mr. Duperry, is it fair to say that the drafting project you took over from Mr. Alberghini did not require any kind of mechanical engineering degree or expertise?

ADDENDUM

TO

APPENDIX 17

```
Volume I
1
                                    Pages: 1-80
                                    Exhibits: None
2
 3
              COMMONWEALTH OF MASSACHUSETTS
             COMMISSION AGAINST DISCRIMINATION
 4
 5
     LOUIS P. ALBERGHINI,
                Complainant
 6
                               No. 01SEM10657
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            vs.
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                  TOLL FREE: (888)244-8858
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                      Fax (508)752-4611
                   24
```

A. Welded edge metal band.

1 4

- Q. And the "Normac programmer," what was that project?
- A. It's not just a project, it's -- it's throughout the whole year. The Normac grinder is what we used to -- it's one of the devices we use to put the teeth in the band, and through CNC controlling you control the diamond dresser that will put the form into a silicon wheel, which then pours it into the second band so you can put the teeth into it. So through the computer, I can design the tooth form that's going to be put into the band.
- Q. And did that project involve solely computer work, or did it involve something else?
- A. It would involve me writing the program on the computer, and then also working with the operator and making sure the form was correct.
- Q. When you say "working with the operator," what would you do with the operator?
- A. I'd have him run it, I would bring it to the comparative, I'd check to make sure that it matched to the tooth form that I had

```
1
          Q. With regard to the projects you've
     listed on your Self-assessment and the other one
 2
     we mentioned, the Red Streak line, which you
 3
     told me that the foreman worked on it with you,
 4
     did any other persons work with you on these
 5
     projects? Would you like to look at the list?
 6
 7
          Α.
                Sure.
                (Witness perusing document.)
                On the Roll Bead unit, I did that.
 9
          Α.
10
     Normac programmer, that was me. Product
     engineer, the product engineer was me. You're
11
12
     referring to the Red Streak improvements as
13
     being the team effort. That project engineer is
     just me. Laser programmer, just me, and turbo
1 4
     tooth, this was a combination of me and
15
16
     marketing. In terms of engineering skill, me,
17
     but they had to have some input into that.
18
          Q.
                Now, did you take over any projects,
     at any point in 2001, that had previously been
19
20
     worked on by Mr. Alberghini?
21
          Α.
                Yes.
22
                Okay. And what were those projects?
          0.
23
                There was only one, and that was the
```

soft straightening project.

```
And what type of a project was that?
 1
          Q.
 2
                 Lou was working on getting a new soft
     straightening and roll burr cell put together.
 3
     We had two already, and he was making the third.
 4
                Okay. And what would one do to make
 5
          Q.
 6
     that?
 7
                 Because this system had already been
          Α.
 8
     made, it would require finding the drawings from
     previous designs, contacting machine shops,
     having a -- going through the quoting process,
10
11
     writing up the EP, getting approval, and then
12
     monitoring the build, and then having the system
13
     put together when it came inside the shop.
14
              Okay. And putting the system
15
     together when it came in the shop, would that be
16
     something that would be done hands on or --
17
          Α.
                 No.
18
                 -- someone else would do it?
          0.
19
                As a supervisor, only. The Union
          Α.
     would take care of putting it together.
20
21
                Okay. And did you do those things,
          0.
22
     then, finish --
23
          Α.
                Yes.
```

-- this project? Were there any

24

Q.

```
other projects that you took over from Mr.
 1
 2
     Alberghini?
           Α.
                No.
 3
 4
           Q.
                 Now, going back to the projects that
     you put on your Self-assessment, and the
 5
 6
     additional ones mentioned by your supervisor in
 7
     his assessment, what types of skills did those
 8
     projects require you to use?
 9
                 They'd require organizational,
          Α.
     drafting skills, machine design skills,
10
1 1
     metallurgical skills, math and physics.
12
           Ο.
                 Okay. And were those skills
13
     mechanical engineering in nature, or some other
14
     type of engineering?
15
                 Mechanical.
           Α.
16
           Q.
                 100-percent mechanical, or did they
17
     involve other aspects of engineering?
                 I'm not sure if I can answer that.
18
           Α.
19
     It really depends on how you define mechanical
20
     engineering.
21
                Well, how do you define mechanical
           Ο.
22
     engineering?
23
           Α.
                 Well, the reason why I said that was
```

I'm not sure if you're including any -- if

```
MR. SIGEL: Objection.
 1
 2
                 MS. ELLIOTT: On what basis?
 3
                 MR. SIGEL: "Computer engineering?"
                 MS. ELLIOTT: Yes.
 5
           Α.
                 Computer engineering, no.
 6
                 No?
           Ο.
 7
           Α.
                 No.
 8
           0.
                 Okay. The computer part that you did
 9
     would not be something that a computer engineer
10
     would do, or could do?
11
           Α.
                Can you rephrase that?
12
           Ο.
                You mentioned some programming that
     you did?
1.3
14
           Α.
                 Yes.
1.5
                 Okay. Would that necessarily involve
16
     having to have experience in -- as a computer
17
     engineer, to do that?
18
           Α.
                No.
19
                 The project that you acquired from
2.0
     Mr. Alberghini, was that a mechanical
21
     engineering project?
22
           Α.
                 It would be considered a
23
     manufacturing engineering project, but because
24
     of the fact that the parts were already designed
```

```
and it was just a matter of getting it rebuilt,

I don't know how you can really classify that as
engineering work. It's more of a supervising
work.
```

- Q. Okay. So in that particular situation, that project was mostly supervisory?
 - A. Yes.

- Q. Now, did you know about any projects that Mr. Alberghini worked on? Were you aware of those when the two of you were working there together at Simonds?
- A. The only project that I knew Lou was working on was the sheets that he was doing up for the carbide line, where he was finding out what the product looked like in between each operation, and I worked with him shortly on that.
- Q. Okay. Did you work together on any other projects?
 - A. No.
- Q. Now, on your second performance review, I want to turn to the Goals sheet of it. So I see these goals were -- is it your understanding that these goals were to be

```
while you were employed at Simonds?
 1
 2
           Α.
                 Training in what?
                 Anything at all.
 3
           Ο.
 4
           Α.
                 I was trained as an ISO auditor.
 5
           Ο.
                 A what?
                 An ISO internal auditor.
 6
           Α.
 7
                 And was that an outside-of-the-
           Ο.
     company course that you attended, or was that
 8
     something held in-house?
 9
10
                 It was outside.
11
           Ο.
                 It was outside. Where did you go for
12
     that?
                 Marlboro.
1.3
           Α.
1 4
                 Other than that training course, did
           Ο.
15
     you have any other training courses in or
     outside of Simonds?
16
17
           Α.
                 I was trained in Lean Manufacturing
18
     Kaizen down at Wire Mold in Connecticut.
19
           Q.
                 Okay. When did you attend that
2.0
     training?
21
           Α.
                 That would be in May of 2001.
2.2
           0.
                 And was that a one-time course, or
23
     did you go again for additional courses in that?
24
           Α.
                 It was a one-week long course.
```

```
Had you had any training in that lean
 1
           Ο.
 2
     manufacturing prior to May 2001?
                 Yes.
 3
           Α.
                 Okay. When was that?
           Ο.
 4
                 I do not remember.
 5
           Α.
                 After you were hired, though, right?
 6
           Ο.
 7
                 After I was hired, but before I went
           Α.
     to Wire Mold.
 8
                 Okay. Any other training courses
 9
           Q.
10
     that you attended during your employment at
11
     Simonds, up to today?
12
           Α.
                I'm thinking.
                 (Brief pause.)
13
1 4
           Α.
                 No.
15
                 Okay. And back to number one, the
16
     one that -- on the job description that says,
17
     "Stays current with state of the art technology
1.8
     applicable to manufacturing," is that something
19
     that is generic to mechanical engineering?
20
           Α.
                 Can you rephrase that?
2 1
           Ο.
                 Is that something that is generic to
22
     mechanical engineering, or is that something
23
     that would be applicable to any engineering
```

process?

```
Once a month?
 1
           Q.
 2
                 Maybe. It depends on the task at
 3
     hand.
 4
                 Okay. Other than those that we've
           0.
 5
     specifically talked about, I think you testified
 6
     earlier that they were all things that you did?
 7
           Α.
                 Yes.
                 Okay. I'm going to show you what
 8
     states that it's the "Process Metallurgist/
 9
10
     Manufacturing Engineer Job Description." Is
     that something that you've seen before?
11
12
                 (Witness perusing document.)
13
           Α.
                 Yes, I've seen this.
1 4
           Q.
                 Okay. And when did you see that?
15
                 Yesterday.
          Α.
16
                 Okay. Did you ever see it before
           Q.
17
     yesterday?
18
                 I don't remember.
          Α.
19
                 Okay. Did Mr. Niemi ever give you a
           Ο.
20
     job description or --
21
                 I don't --
           Α.
22
                 -- Mr. Brault?
           Q.
23
          Α.
                 I don't remember.
24
           Q.
                 It's not something that stands out in
```

```
your mind, that they said: Here's your job
 1
 2
     duties?
                 I don't remember.
 3
           Α.
                 How did you find out what your job
 4
           Q.
 5
     duties were?
 6
                 Verbally, from my superior.
7
                 Okay. You don't recall, at any time,
           0.
 8
     whether they ever gave you any written document
     like this to --
 9
10
              I don't remember if they gave me a
           Α.
     document.
11
12
           Ο.
                And if they did, you never referred
13
     to it again, during your employment?
1 4
                 MR. SIGEL: Objection.
1.5
                 I don't remember.
           Α.
16
                 Now, what, on this job description
17
     for the manufacturing engineer process
18
     metallurgist, what on that particular job
19
     description involves mechanical engineering type
2 0
     duties?
21
                 (Witness perusing document.)
22
           Α.
                 Well, number one, "Develop and refine
23
     thermal processing techniques," along with
     anything that's heat related, you also need to
24
```